

Export LC Amendment - Beneficiary Consent Islamic User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Amendment - Beneficiary Consent Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTfPM) User Guide. This guide provides an overview on the OBTfPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTfPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTfPM is a Trade Finance Middle Office platform, which enables bank to streamline the trade finance operations. OBTfPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTfPM helps banks to manage Trade Finance operations across the globe in different currencies. OBTfPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Amendment - Beneficiary Consent - Islamic

Export LC Amendment - Beneficiary Consent process enables the user to register the beneficiary consent response received for an amendment made to a LC.

As part of Conventional Export LC Amendment, Export LC Amendment process enables the bank to advise an amendment to the LC which had been already advised. The amendments may need consent from the beneficiary of the amendment and the amended LC is parked awaiting beneficiary consent. Once the Beneficiary has accepted the amendment, the LC amendment Confirmation will be triggered.

The various stages involved for Islamic Export LC Amendment Beneficiary Consent are:

- Input basic data and Upload of related mandatory and non-mandatory documents in Registration stage
- Input/Modify details of amendment of LC - Data Enrichment stage
- Capture remarks for other users to check and act
- Notify customer on any negative statuses in any of the stages to the Beneficiary
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Amendment process flow is similar to that of conventional Export LC Amendment process flow.

This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Exceptions
Multi Approval	

Common Initiation Stage

The user can initiate the new Islamic export LC amendment beneficiary consent request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

The screenshot shows the Oracle OBTFPM application interface. The top header includes the Oracle logo, the title 'Initiate Task', and user information. The left sidebar contains a navigation menu with various options, including 'Initiate Task' which is currently selected. The main content area displays a 'Registration' form with three input fields: 'Process Name' (set to 'Export LC Amendment Beneficia...'), 'LC Reference Number' (set to 'PK2ELAC21125A6K5'), and 'Branch' (set to 'PK2-Oracle Banking Trade Finan...'). At the bottom right of the form, there are two buttons: 'Proceed' and 'Clear'.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

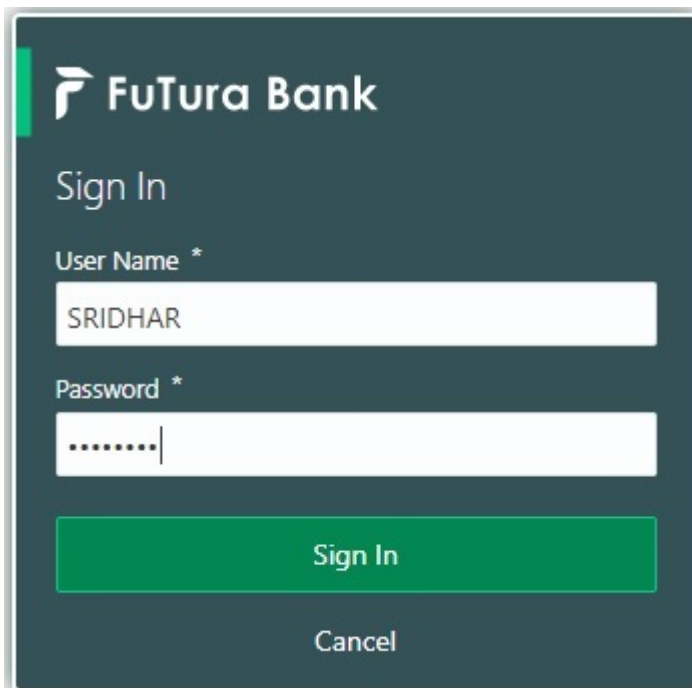
Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

If beneficiary response is given through branch either by fax, mail, or paper, the Islamic Export LC amendment - Beneficiary Consent process starts from the Registration Stage.

During Registration stage, user can capture the basic details of the amendment confirmation, check the signature of the signatory from the advising bank and upload the related documents. It also enables the user to capture beneficiary response.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.

A screenshot of the FuTura Bank login interface. The header shows the FuTura Bank logo and name. Below it is the 'Sign In' heading. There are two input fields: 'User Name *' with the text 'SRIDHAR' and 'Password *' with masked characters '.....'. At the bottom, there are two buttons: a green 'Sign In' button and a grey 'Cancel' button.

FuTura Bank

Sign In

User Name *

SRIDHAR

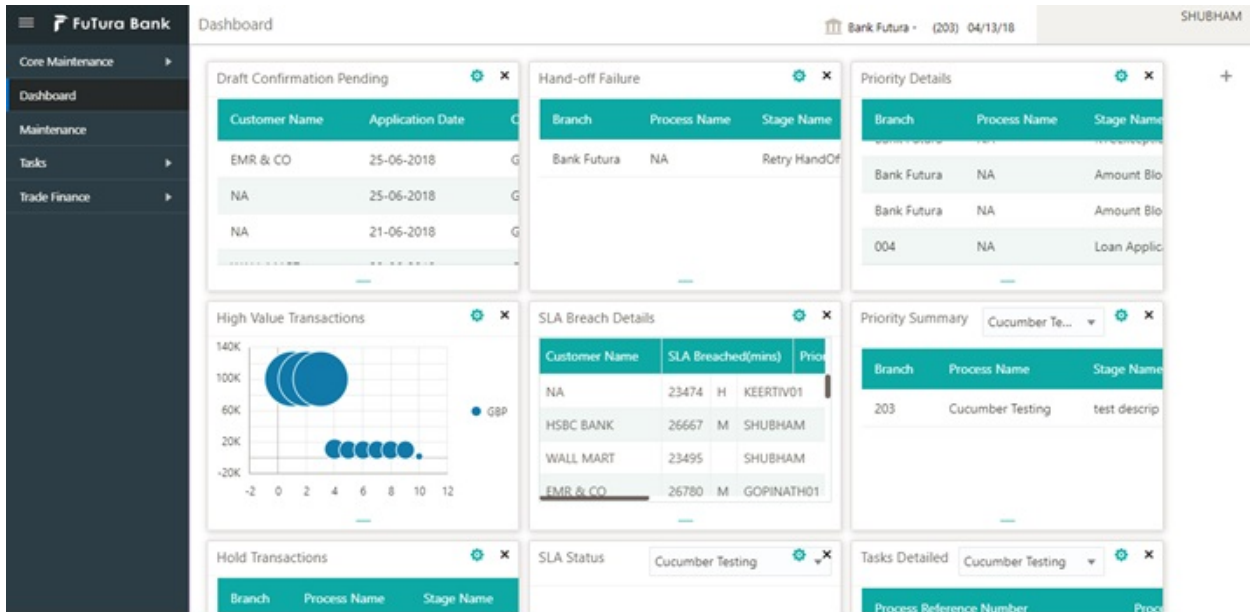
Password *

.....

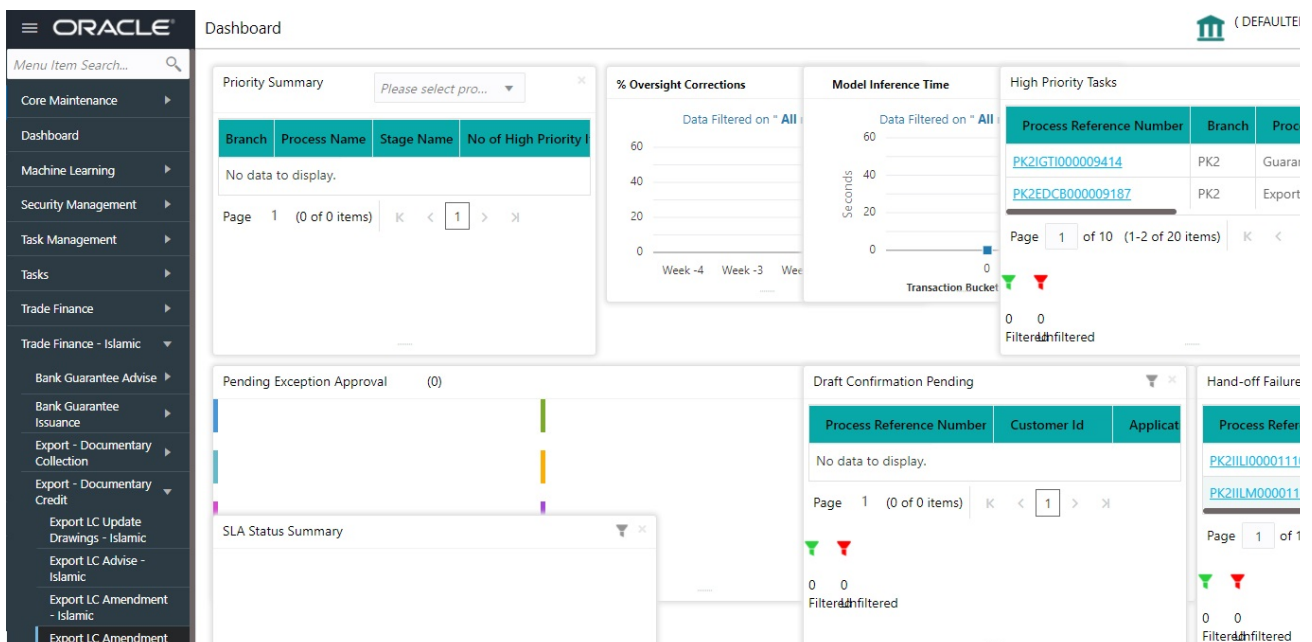
Sign In

Cancel

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click **Trade Finance - Islamic > Export - Documentary Credit> Export LC Amendment Beneficiary Consent - Islamic.**



The Registration stage has two sections Application Details and Beneficiary Response Capture. Let's look at the details of Registration screens below:

Application Details

Export LC Amendment Beneficiary Consent - Islamic

Documents Remarks Customer Instruction

Application Details

20 - Documentary Credit Number *
PK2ELC1211256501

Amendment Number
1

Response Received Date
May 5, 2021

Customer Reference Number
123112312

Beneficiary ID
001204

Process Reference Number
PK2IEAM00071261

Issuing Bank
001041 WELLS FARGO

Beneficiary
PK2WALKIN1

Priority
Medium

Non Bank Issuer

Branch
PK2-Oracle Banking Trade Finan...

Submission Mode
Desk

Cancel LC
☐

View LC Events

LC Details

LC Type
Sight

Product Code
ELCI

Product Description
Advising of Islamic Export LC Revolving

Advising Bank

40A - Form of Documentary Credit
IRREVOCABLE

Contract Reference Number
PK2ELC1211256501

31C - Date of Issue
May 5, 2021

40E - Applicable Rules
UCPURR LATEST VERSION

Date of Expiry
Aug 3, 2021

31D - Place of Expiry
TEST

51A - Applicant Bank

Applicant
001043 MARKS AND SPENCER

Beneficiary
001204 PK2WALKIN1

32B - Currency Code, Amount
GBP £1,000.00

39A - Percentage Credit Amount Tolerance
10 / 10

39C - Additional Amount Covered

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	☒	Unconfirmed		☐

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Documentary Credit Number	Provide the documentary credit number. Alternatively, user can search the documentary credit number using LOV. In the LOV, user can input Customer ID, Applicant, Currency, Amount and User Reference to fetch the LC details. Based on the search result, select the applicable LC to be amended.	
Beneficiary ID	Read only field. Beneficiary ID will be auto-populated based on the selected LC from the LOV.	001344
Beneficiary	Read only field. Beneficiary Name will be auto-populated based on the selected LC from the LOV.	EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment Number	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Response Received Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed.	04/13/2018
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected LC from the LOV.	
Cancel LC	Read only field. This field displays the option to cancel the LC.	
Customer Reference Number	Read only field. This field displays the Customer Reference Number of the selected LC.	

LC Details

Details in this screen displays the data from the LC issued.

LC Details

LC Type

Sight

40A - Form of Documentary Credit

IRREVOCABLE

Date of Expiry

Aug 3, 2021

Beneficiary

001204 PK2WALKIN1

Product Code

ELCI

Contract Reference Number

PK2ELC1211256501

31D - Place of Expiry

TEST

32B - Currency Code, Amount

GBP £1,000.00

Product Description

Advising of Islamic Export LC Revolving

31C - Date of Issue

May 5, 2021

51A - Applicant Bank

39A - Percentage Credit Amount Tolerance

10 / 10

Advising Bank

40E - Applicable Rules

UCPURR LATEST VERSION

Applicant

001043 MARKS AND SPI

39C - Additional Amount Covered

Provide the LC Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based selected LC.	

Field	Description	Sample Values
Product Code	Read only field. This field displays the product code of the selected LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Advising Bank	This field displays the advising bank details of the selected LC.	
40A - Form of Documentary Credit	Read only field. This field displays the form of documentary credit details of the selected LC.	
Contract Reference Number	Read only field. This field displays the Contract Reference Number of the selected LC.	
Date of Issue	Read only field. This field displays the LC issuance date.	
Applicable Rules	This field displays the rules of the selected LC.	
Date Of Expiry	This field displays the expiry date of the selected LC.	
Place of Expiry	This field displays the place of expiry of the selected LC.	
Applicant Bank	Read only field. This field displays the applicant bank details of the selected Export LC.	
Applicant	Read only field. This field displays the details of the applicant of the selected LC.	
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected LC.	
Currency Code, Amount	Read only field. This field displays the value of LC along with the currency details of the selected LC.	
Percentage Credit Amount Tolerance	Read only field. This field displays the percentage credit amount tolerance details of the selected LC.	
Additional Amount Covered	Read only field. This field displays the details of additional amount covered of the selected LC.	

Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021		Unconfirmed		

Hold
Cancel
Save & Close
Submit

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. This field displays the date on which the amendment was made to LC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC using documentary credit number.	
Beneficiary Response	Select the beneficiary response from the LOV. - Confirmed - Unconfirmed - Rejected  Note Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	
Remarks	Capture the remarks of the beneficiary response.	
Action	Click Edit icon to edit the remarks of the beneficiary response.	

Miscellaneous

Export LC Amendment Beneficiary Consent

Documents Remarks Customer Instruction

Application Details

20 - Documentary Credit Number PK2ELAC21125A6K5	Beneficiary ID 000153	Beneficiary NATIONAL FREIGHT CORP	Branch PK2-Oracle Banking Trade Finan...
Amendment Number 1	Process Reference Number PK2ELCA00007100	Priority Medium	Submission Mode Desk
Response Received Date May 5, 2021	Issuing Bank 000322 Trade Indiv 2	Non Bank Issuer	Cancel LC ☐
Customer Reference Number srirama			

View LC Events

LC Details

LC Type Sight	Product Code ELAC	Product Description Import LC Usance Non Revolving	Advising Bank
40A - Form of Documentary Credit IRREVOCABLE	Contract Reference Number PK2ELAC21125A6K5	31C - Date of Issue May 5, 2021	40E - Applicable Rules UCPURR LATEST VERSION
Date of Expiry Aug 3, 2021	31D - Place of Expiry SRIRAMA	51A - Applicant Bank	Applicant 000321 Trade Indiv 1
Beneficiary NATIONAL FREIGHT CORP	32B - Currency Code, Amount	39A - Percentage Credit Amount Tolerance	39C - Ad

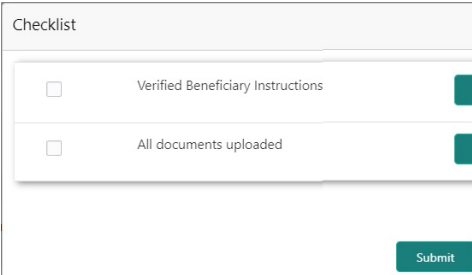
Hold Cancel Save & Close Submit

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the Beneficiary Consent. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Action Buttons

Submit	On submit, system will trigger acknowledgment to the customer and give confirmation message for successful submission. Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	

Field	Description	Sample Values
Cancel	Cancels the Export LC Amendment - Beneficiary Consent Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	

Data Enrichment

A Data Enrichment User can enter/update details of the amendment confirmation request.

Non-Online Channel - Export LC Amendment - Beneficiary Consent request that were received at the desk will move to Beneficiary Consent Response Capture stage post successful Registration. The requests will have the details entered during the Registration stage.

Online Channel - Requests that are received via online channels like trade portal, external system and SWIFT are available directly for further processing from Beneficiary Consent Response Capture stage.

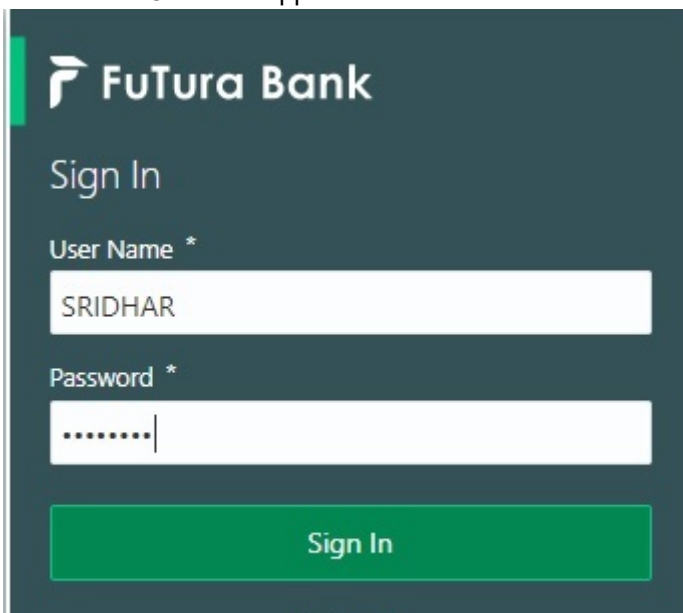


Note

For expired line of limits, the task moves to "Limit Exception" stage under Free Tasks, on 'Submit' of DE Stage with the reason for exception as "Limit Expired".

Do the following steps to acquire a task currently at Beneficiary Consent Response Capture stage:

1. Using the entitled login credentials for Islamic Beneficiary Consent Response Capture stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

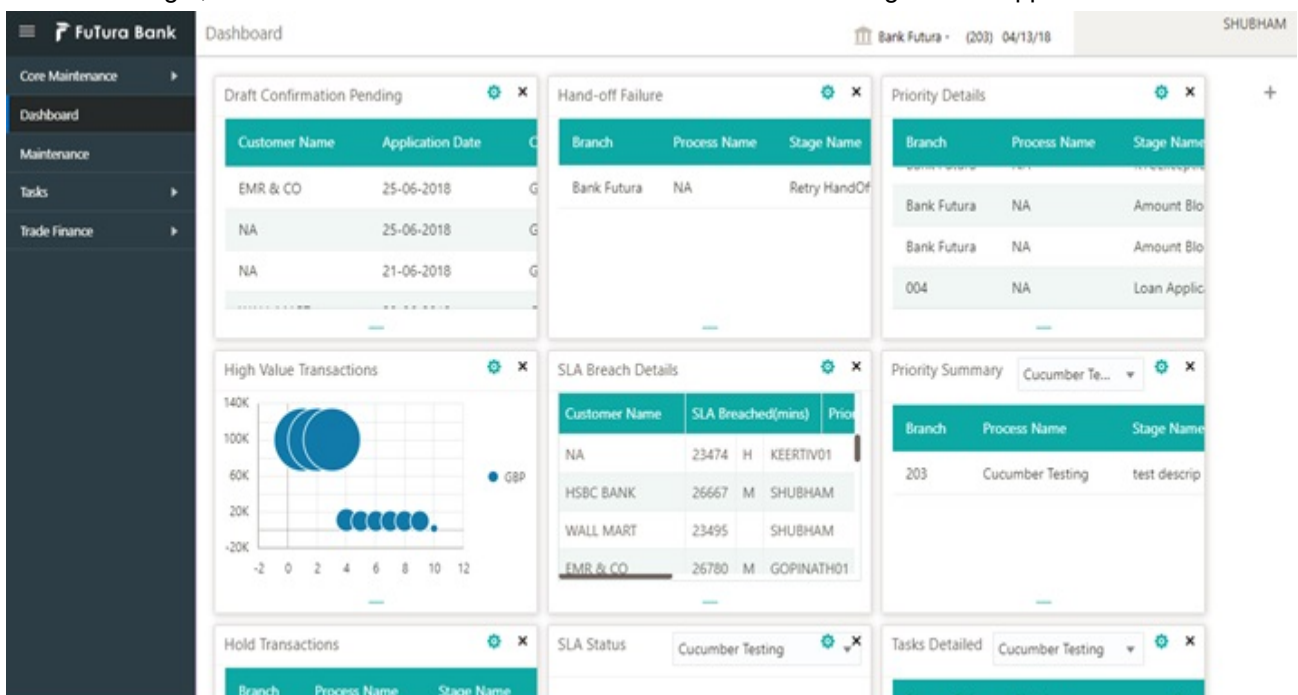
SRIDHAR

Password *

.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance

Dashboard

Maintenance

Tasks

Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOff

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K

100K

60K

20K

-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIVO1
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

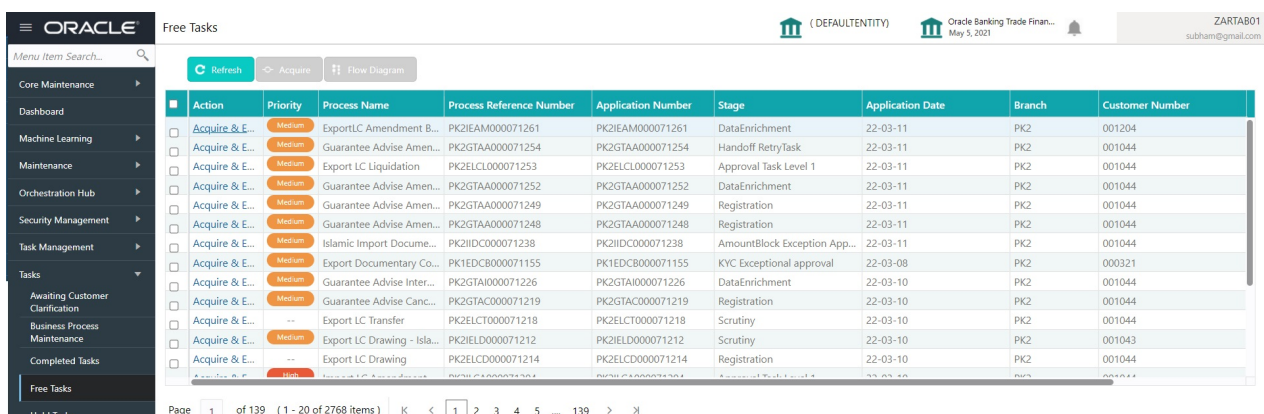
SLA Status

Cucumber Testing

Tasks Detailed

Cucumber Testing

3. Click **Tasks> Free Tasks**.



ORACLE Free Tasks

Menu Item Search...

Core Maintenance

Dashboard

Machine Learning

Maintenance

Orchestration Hub

Security Management

Task Management

Tasks

Awaiting Customer Clarification

Business Process Maintenance

Completed Tasks

Free Tasks

Hold Tasks

Refresh

Acquire

Flow Diagram

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
Acquire & E...	Medium	ExportLC Amendment B...	PK2IEAM000071261	PK2IEAM000071261	DataEnrichment	22-03-11	PK2	001204
Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTAA000071254	PK2GTAA000071254	Handoff RetryTask	22-03-11	PK2	001044
Acquire & E...	Medium	Export LC Liquidation	PK2ELCL000071253	PK2ELCL000071253	Approval Task Level 1	22-03-11	PK2	001044
Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTAA000071252	PK2GTAA000071252	DataEnrichment	22-03-11	PK2	001044
Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTAA000071249	PK2GTAA000071249	Registration	22-03-11	PK2	001044
Acquire & E...	Medium	Guarantee Advise Amen...	PK2GTAA000071248	PK2GTAA000071248	Registration	22-03-11	PK2	001044
Acquire & E...	Medium	Islamic Import Docum...	PK2IIDC000071238	PK2IIDC000071238	AmountBlock Exception App...	22-03-11	PK2	001044
Acquire & E...	Medium	Export Documentary Co...	PK1EDCB000071155	PK1EDCB000071155	KYC Exceptional approval	22-03-08	PK2	000321
Acquire & E...	Medium	Guarantee Advise Inter...	PK2GTAA000071226	PK2GTAA000071226	DataEnrichment	22-03-10	PK2	001044
Acquire & E...	Medium	Guarantee Advise Canc...	PK2GTAA000071219	PK2GTAA000071219	Registration	22-03-10	PK2	001044
Acquire & E...	Medium	Export LC Transfer	PK2ELCT000071218	PK2ELCT000071218	Scrutiny	22-03-10	PK2	001044
Acquire & E...	Medium	Export LC Drawing - Isla...	PK2IELD000071212	PK2IELD000071212	Scrutiny	22-03-10	PK2	001043
Acquire & E...	Medium	Export LC Drawing	PK2ELCD000071214	PK2ELCD000071214	Registration	22-03-10	PK2	001044

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4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
☒	Medium	ExportLC Amendment Benefi...	PK2IEAM000071261	PK2IEAM000071261	DataEnrichment	22-03-11	PK2	001204
☐	Medium	Guarantee Advise Amendment	PK2GTAA000071254	PK2GTAA000071254	Handoff RetryTask	22-03-11	PK2	001044
☐	Medium	Export LC Liquidation	PK2ELCL000071253	PK2ELCL000071253	Approval Task Level 1	22-03-11	PK2	001044
☐	Medium	Guarantee Advise Amendment	PK2GTAA000071252	PK2GTAA000071252	DataEnrichment	22-03-11	PK2	001044
☐	Medium	Guarantee Advise Amendment	PK2GTAA000071249	PK2GTAA000071249	Registration	22-03-11	PK2	001044
☐	Medium	Guarantee Advise Amendment	PK2GTAA000071248	PK2GTAA000071248	Registration	22-03-11	PK2	001044
☐	Medium	Islamic Import Documentary ...	PK2IIDC000071238	PK2IIDC000071238	AmountBlock Exception App...	22-03-11	PK2	001044
☐	Medium	Export Documentary Collecti...	PK1EDCB000071155	PK1EDCB000071155	KYC Exceptional approval	22-03-08	PK2	000321
☐	Medium	Guarantee Advise Internal A...	PK2GTAI000071226	PK2GTAI000071226	DataEnrichment	22-03-10	PK2	001044
☐	Medium	Guarantee Advise Cancellation	PK2GTAC000071219	PK2GTAC000071219	Registration	22-03-10	PK2	001044
☐	---	Export LC Transfer	PK2ELCT000071218	PK2ELCT000071218	Scrutiny	22-03-10	PK2	001044
☐	Medium	Export LC Drawing - Islamic	PK2IELD000071212	PK2IELD000071212	Scrutiny	22-03-10	PK2	001044
☐	---	Export LC Drawing	PK2ELCD000071214	PK2ELCD000071214	Registration	22-03-10	PK2	001044

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5. The acquired task will be available in **My Tasks** tab. Click **Edit** to capture responses of the registered task.

My Tasks

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
☒	Medium	ExportLC Amendment B...	PK2IEAM000071261	PK2IEAM000071261	DataEnrichment	22-03-11	PK2	001204	
☐	Medium	Islamic Import Docume...	PK2IIDU000071251	PK2IIDU000071251	Approval Task Level 1	22-03-11	PK2	001043	
☐	Medium	Islamic Shipping Guara...	PK2ISGI000071223	PK2ISGI000071223	Approval Task Level 1	22-03-10	PK2	001044	
☐	Medium	Islamic Import Docume...	PK1IIDB000071188	PK1IIDB000071188	Approval Task Level 1	22-03-09	PK2	000327	
☐	Medium	Export LC Liquidation Isl...	PK2IELL000071164	PK2IELL000071164	Approval Task Level 1	22-03-08	PK2	001044	
☐	Medium	Export LC Liquidation Isl...	PK2IELL000071147	PK2IELL000071147	DataEnrichment	22-03-07	PK2	001044	
☐	Medium	Guarantee Advice Closu...	PK2GTAC000071141	PK2GTAC000071141	DataEnrichment	22-03-07	PK2	001044	
☐	Medium	Guarantee Advise Inter...	PK2GTAI000071135	PK2GTAI000071135	DataEnrichment	22-03-07	PK2	001044	
☐	---	Import LC Internal Ame...	PK2ILCI000071134	PK2ILCI000071134	Registration	22-03-07	PK2	001044	
☐	Medium	Guarantee Advise	PK1GTEA000071133	PK1GTEA000071133	Scrutiny	22-03-07	PK2		
☐	Medium	Guarantee Advise Inter...	PK2GTAI000071132	PK2GTAI000071132	DataEnrichment	22-03-07	PK2	001044	
☐	Medium	Guarantee Issuance	000GTEI000071130	000GTEI000071130	Scrutiny	22-03-07	PK2	000335	
☐	Medium	Import LC Issuance	PK1ILCI000071094	PK1ILCI000071094	Approval Task Level 1	22-03-05	PK2	000322	

Page 1 of 14 (1 - 14 of 14 items)

The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for beneficiary consent response capture stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- Beneficiary Response Capture

Application Details

All fields displayed under Application details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.

ExportLC Amendment BeneficiaryConsent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Main Details

Application Details

20 - Documentary Credit Number *
PK2ELC1211256501

Beneficiary ID
001204

Beneficiary
PK2WALKIN1

Branch
PK2-Oracle Banking Trade Finan...

Amendment Number
1

Process Reference Number
PK2IEAM000071261

Priority
Medium

Submission Mode
Desk

Response Received Date
May 5, 2021

Issuing Bank
001041 WELLS FARGO L

Non Bank Issuer

Cancel LC

Customer Reference Number
123112312

View LC Events

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	☒	Unconfirmed		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Beneficiary Response Capture

Registration user can capture the beneficiary responses of each amendments made to the LC in this section.

Beneficiary Response Capture

Amendment Number	Amendment Date	Beneficiary Consent Required	Beneficiary Response	Remarks	Action
1	May 5, 2021	☒	Unconfirmed		

Audit

Reject Refer Hold Cancel Save & Close Back Next

Capture the beneficiary response based on the description in the following table:

Field	Description	Sample Values
Amendment Number	Read only field. Amendment number will be auto-populated based on selected LC using documentary credit number.	
Amendment Date	Read only field. Amendment Date will be auto-populated based on selected LC using documentary credit number. This field displays the date on which the amendment was made to LC.	
Beneficiary Consent Required	Read only field. Beneficiary Consent Required (Y/N) will be auto-populated based on selected LC.	

Field	Description	Sample Values
Beneficiary Response	Select the beneficiary response from the LOV. - Confirmed - Rejected  Note Beneficiary Response field will be read only if Beneficiary Consent Required is 'No'.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents. Application will display the mandatory and optional documents. The user can view and input/view application details simultaneously. When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Customer Instructions	Click to view/ input the following Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions.	

Field	Description	Sample Values
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Additional Fields

Banks can configure these additional fields during implementation.

ExportLC Amendment BeneficiaryConsent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

DocumentsRemarksOverridesCustomer InstructionIncoming MessageView LC

Main Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Additional Fields

Additional Fields

No Additional fields configured!

Audit

RejectReferHoldCancelSave & CloseBackNext

Screen (2 / 6)

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required and verify the details Data Segment. User can suppress the advice, if required.

ExportLC Amendment BeneficiaryConsent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

DocumentsRemarksOverridesCustomer InstructionIncoming MessageView LC

Main Details

Additional Fields

Advices

Additional Details

Settlement Details

Summary

Advices

Advice : AMD_EXP_CR

Advice Name: AMD_EXP_CR
Advice Party : ATB
Party Name : RBS PLC
Suppress : NO
Advice

Advice : LC_CASH_COL_A...

Advice Name: LC_CASH_COL_ADV
Advice Party : ATB
Party Name : RBS PLC
Suppress : NO
Advice

Advice : LC_ACK_AMND

Advice Name: LC_ACK_AMND
Advice Party : ISB
Party Name : WELLS FARGO LA
Suppress : NO
Advice

Advice : PAYMENT_MESS...

Advice Name: PAYMENT_MESSAGE
Advice Party :
Party Name :
Suppress : NO
Advice

Audit

RejectReferHoldCancelSave & CloseBackNext

Screen (3 / 6)

Additional Details

A Data Enrichment user can enter the basic additional details available in the Islamic LC amend Beneficiary Consent. In case the request is received through online channel user will verify the details populated.

ExportLC Amendment BeneficiaryConsent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Main Details Additional Fields Advices **Additional Details** Settlement Details Summary

Additional Details Screen (4 / 6)

Commission,Charges and...	Preview Messages	FX Linkage
Charge : GBP 50 Commission : Tax : Block Status : Not Initiated	Language : Preview Advice : -	FX Reference Number : Contract Currency : Contract Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

Charge Details

Click on **Default Charges** button to the default commission, charges and tax if any will get populated.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Charge Details are auto-populated from the back-end system.

Commission, Charges and taxes

Recalculate Redefault

Commission Details

Event

Event Description

Component	Rate	Modified Rate	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 of 1 (0 of 0 items) < 1 >

Charge Details

Component	Tag currency	Tag Amount	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCANCHG	GBP	10000	GBP	£95.00		☐	☐	☐		PK20010440017
LCCANCHG	GBP	10000	GBP	£95.00		☐	☐	☐		PK20010440017

Page 1 of 1 (1-2 of 2 items) < 1 >

Tax Details

Component	Type	Value Date	Currency	Amount	Billing	Defer	Settlement Account
No data to display.							

Save & Close Cancel

Commission Details

Commission Details are auto-populated from back-end system.

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	
Component	Select the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Select the check box to waive charges/ commission. Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

Charge Details

Field	Description	Sample Values
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Defaults the tag amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM. The user can not select/de-select the check box if it is de-selected by default. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected. On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM. The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer. This field is disabled, if 'Defer' toggle is enabled.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	

Field	Description	Sample Values
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are auto-populated from the back-end system.

Field	Description	Sample Values
Component	Tax Component type	
Type	Type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing. This field is disabled, if 'Defer' toggle is enabled.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	
Charges From Beneficiary	Detail of charges to be collected from beneficiary.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Islamic Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Settlement Details

A Data Enrichment user can enter the basic additional details available in the Islamic LC amend Beneficiary Consent. In case the request is received through online channel user will verify the details populated.

ExportLC Amendment BeneficiaryConsent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

DocumentsRemarksOverridesCustomer InstructionIncoming MessageView LC

Main DetailsAdditional FieldsAdvicesAdditional DetailsSettlement DetailsSummary

Settlement Details

Current Event

Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
COLLAMT_OSEQ		No	313100004		GBP	No	No
COLL_AMNDAMTEQ		No	313100004		GBP	No	No
COLL_AMTEQ		No	313100004		GBP	No	No
COLL_AMT_DECR		No	313100004		GBP	No	No
COLL_AMT_INCR		No	313100004		GBP	No	No
COLL_AVALAMTEQ		No	313100004		GBP	No	No
LIEXADV_LIQD		No	313100004		GBP	No	No

Audit

RejectReferHoldCancelSave & CloseBackNext

Screen (5 / 6)

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	

Field	Description	Sample Values
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Summary

A Data Enrichment user can enter the basic additional details available in the LC amend Beneficiary Consent. In case the request is received through online channel user will verify the details populated.

A Data Enrichment user can review the summary of details updated in Beneficiary Consent Response Capture section. As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

ExportLC Amendment Beneficiary/Consent Islamic
DataEnrichment :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Main Details
Additional Fields
Advices
Additional Details
Settlement Details
Summary

Summary

Screen (6 / 6)

Accounting Details	Main Details	Additional Fields	Advices
Event : AMND Account Number : 520000002 Branch : PK2	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Place of Expiry : TEST	Click here to view : Additional fields	Advice 1 : AMD_EXP_CR Advice 2 : LC_CASH_CO Advice 3 : LC_ACK_AMND Advice 4 : PAYMENT_ME
Commission,Charges and Taxes	Preview Messages	Parties Details	Compliance details
Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Advise Through Bank : RBS PLC Applicant : MARKS AND Confirming Bank : WELLS FARG Beneficiary : PK2WALKIN1	KYC : Not Initia Sanctions : Not Initia AML : Not Initia
Settlement Details			
Component : UEXADV_UQD Account Number : 313100004 Currency :			

Audit

Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Charges - User can view the charge details.
- Preview Messages - User can view the preview message.
- Compliance - User can view the compliance details.
- Party Details - User can the party details.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to View/Upload the required documents.	
Remarks	Click the Remarks icon to provide any additional information. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables user to view the details of the LC.	
Submit	Task will get moved to next logical stage of Export LC Amendment - Beneficiary Consent. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Beneficiary Consent Response Capture stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	On Click of Back, the application loads previous stage inputs.	

Exceptions

The Export LC Amendment Beneficiary Consent request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

On Approval, system should not release the Amount Block against each applicable account and system should handoff the "Amount Block Reference Number" to the back office. On successful handoff, back office will make use of these "Amount Block

Reference Number" to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block.

Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

ExportLC Amendment BeneficiaryConsent Islamic
AmountBlock Exception Approval :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Amount Block Exception
Summary

Amount Block Exception
Amount Block Exception Details

Screen (1 / 2)

Type	Contract Currency	Block Amount	Account	Branch	Account Currency	Block Ref No	Block Status	Block Status Details
Cash Collateral	GBP	0	313100004	PK2	GBP			
Charge	GBP	50	313100004	PK2	GBP			

Audit

Reject Refer Hold Approve Back Next

Summary

ExportLC Amendment BeneficiaryConsent Islamic
AmountBlock Exception Approval :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

Amount Block Exception
Summary

Summary

Screen (2 / 2)

Main Details	Additional Fields	Advices	Commission,Charges and Taxes
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Place of Expiry : TEST	Click here to view : Additional fields	Advice 1 : AMD_EXP_CR Advice 2 : LC_CASH_CO Advice 3 : LC_ACK_AMND Advice 4 : PAYMENT_ME	Charge : GBP50 Commission : Tax : Block Status : Failed
Preview Messages	Parties Details	Compliance details	
Language : ENG Preview Message : -	Beneficiary : PK2WALKIN1 Advise Through Bank : RBS PLC Applicant : MARKS AND Confirming Bank : WELLS FARG	KYC : Not Verified Sanctions : Not Initia AML : Not Initia	

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Amount Block Exception

This section will display the amount block exception details.

ExportLC Amendment BeneficiaryConsent Islamic
KYC Exceptional approval :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

KYC Exception Details Summary

KYC Exception Details

Screen (1 / 2)

Party ID	KYC Status	KYC Verified On	KYC Verified Till
001204	Failed		
001043	Verified	27-03-2021	27-03-2022

Audit

Reject Refer Hold Approve Back Next

Summary

ExportLC Amendment BeneficiaryConsent Islamic
KYC Exceptional approval :: Application No:- PK2IEAM000071261

Documents Remarks Overrides Customer Instruction Incoming Message View LC

KYC Exception Details Summary

Screen (2 / 2)

Main Details	Additional Fields	Advices	Commission,Charges and Taxes
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Place of Expiry : TEST	Click here to view Additional Fields	Advice 1 : AMD_EXP_CR Advice 2 : LC_CASH_CO Advice 3 : LC_ACK_AMND Advice 4 : PAYMENT_ME	Charge : GBP50 Commission : Tax : Block Status : Not Initia

Preview Messages	Parties Details	Compliance details
Language : ENG Preview Message : -	Confirming Bank : WELLS FARG Advise Through Bank : RBS PLC Beneficiary : PK2WALKIN1 Applicant : MARKS AND	KYC : Not Verified Sanctions : Not Initia AML : Not Initia

Audit

Reject Refer Hold Approve Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Charge - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent KYC exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for Trade Finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

**Note**

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the Export LC Amendment Beneficiary Consent Limit exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Multi Approval

A user can view the summary of details updated in multi-level approval stage of Beneficiary Consent Response Capture section.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Summary

ExportLC Amendment BeneficiaryConsent Islamic
Approval Task Level 1 :: Application No:- PK2IEAM000071261

Documents
Remarks
Overrides
Customer Instruction
Incoming Message
View LC

Accounting Details Event : AMND Account Number : 620000001 Branch : PK2	Main Details Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-08-03 Place of Expiry : TEST	Additional Fields Click here to view : Additional fields	Advices Advice 1 : AMD_EXP_CR Advice 2 : LC_CASH_CO Advice 3 : LC_ACK_AMND Advice 4 : PAYMENT_ME	Commission,Charges and Taxes Charge : GBP50 Commission : Tax : Block Status : Failed
Preview Messages Language : ENG Preview Message : -	Parties Details Confirming Bank : WELLS FARG Beneficiary : PK2WALKIN1 Advise Through Bank : RBS PLC Applicant : MARKS AND	Exception(Approval) AmountBlockKYC : EXCEPTION PLEASE VISIT : REMARKS FOR MORE DETAILS	Compliance details KYC : Not Verified Sanctions : Not Initia AML : Not Initia	

Audit
Reject
Hold
Refer
Cancel
Approve

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: - R1- Documents missing - R2- Signature Missing - R3- Input Error - R4- Insufficient Balance/Limits - R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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